Adults with Poor Reading Skills and the Inferences They Make During Reading

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Running Head: POOR READING SKILLS and INFERENCES

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Abstract

Millions of United States adults lack the literacy skills needed for most living-wage jobs. We investigated one particular comprehension process for these adults: generating predictive inferences. If a sentence says that someone falls from a 14th story roof, a reader should infer almost certain death. On any test of comprehension, there are two dependent variables, the speed of the response to a test item and accuracy. To address both simultaneously, we used a decision model that separates how much information an individual understands from a text and the individual’s speed/accuracy tradeoff settings. We found that adult literacy students do differentiate between predictive inference sentences and control sentences, a finding that illustrates how a decision-making model combined with tests of particular comprehension processes can lead to further understanding of low-literacy adults’ reading skills.
The number of adults in the United States who have only the lowest of literacy skills is staggering high (Baer, Kutner, Sabatini, & White, 2009; Greenberg, 2008; Kutner, Greenberg, & Baer, 2006; Miller, McCardle, & Hernandez, 2010; OECD, 2013). As Nicholas Kristof of the New York Times put it recently (October 26, 2014), these data "should be a shock to Americans." In this article, we bring theory and methodology from cognitive psychology studies of reading to bear on one particular aspect of this problem-- the extent to which low-literacy adults make inferences that are necessary to achieve full comprehension of textual information.

We place the research described in this article in general theories of reading comprehension. Perfetti and Stafura (2015; also Cromley & Azevedo, 2007), for example, lay out the many processes and sorts of information involved in reading and the connections that tie them together. Inferences play an important role in this framework. They take the explicit information that is put together from the words of a text and construct out of it a representation of the meaning of the text that goes beyond what is present in its individual words. There are many kinds of inferences, ranging from relatively simple ones like connecting a pronoun to its referent to more complex ones like understanding causal relationships. For some inferences, it has been suggested that poor readers have difficulties; they may not appropriately integrate pieces of information in a text with each other, integrate pieces of information with general knowledge, establish appropriate causal connections among pieces of information, interpret textual information in a context-sensitive way, identify the main ideas of a text, or establish the referents of pronouns, and it has been suggested that difficulties like these can occur even when a reader has the requisite general knowledge upon which to base an inference (Barnes, Ahmed, Barth, & Francis, 2015; Bowyer-Crane & Snowling, 2005; Cain, Oakhill, Barnes, & Bryant, 2001; Cain, Oakhill, & Lemmon, 2004; Cain & Oakhill, 1999, 2006; Garnham, Oakhill, & Johnson-Laird, 1982; Laing & Kamhi, 2003; Long & Golding, 1993; Long, Oppy, & Seely, 1994; Magliano & Millis, 2003; Oakhill, 1982, 1983, 1984, 1993; Oakhill, Yuill, & Parkin, 1988; Oakhill, Yuill, & Donaldson, 1990; Oakhill & Yuill, 1986; Singer, Andrusiak, Reisdorf, & Black, 1992; Todaro, Millis, & Dandotkar, 2010; Whitney, Ritchie, & Clark, 1991; Yuill, Oakhill, & Parkin, 1989).

Among inferences that have been little investigated are predictive inferences. These are
inferences about what will happen in an episode or story, as death will almost certainly happen when an actress falls from the 14th story of a building.\(^1\) The first research on predictive inferences was done by McKoon and Ratcliff (1986, 1992). Their study was pivotal in that it moved the field to make a distinction between fast, automatic, passive reading processes and slower, conscious, more strategic ones. Processes of the former kind support much of the information that is understood during reading, with strategic processes coming into play when a reader has specific goals for comprehension (such as a full and complete understanding of a research article). The 1986 article led to a theoretical framework in which fast automatic, passive processes are based on information that is easily available from memory, either general knowledge or information from a text that is currently being read (McKoon & Ratcliff, 1992; O’Brien, 1995).

This memory-based processing framework is now the most widely accepted view of fast automatic comprehension processes in cognitive psychology (e.g., Cook, Limber, & O’Brien, 2001; Gerrig & McKoon, 1998; Gerrig & O’Brien, 2005; McKoon & Ratcliff, 1998, 2015; Cook & O’Brien, 2015). These processes are now often labeled "resonance," a term first coined by (Lockhart, Craik, & Jacoby, 1976) and taken up by O’Brien and colleagues (O’Brien & Myers, 1999; see O’Brien, Cook, & Lorch, 2015, for a review). There have been a number of hypotheses about how automatic processes carry through a text. In van den Broek’s (e.g., van den Broek, Beker, & Oudega, 2015) landscape view, automatic processes make available new and different information over the course of a text. Cook and O’Brien (2015) and Isberner and Richter (2014) have suggested three processing stages with the first making information from memory available by automatic processes, the second integrating information from memory with information in working memory, and the third validating that information against information in memory. In constructionist hypotheses (e.g., Graesser, Li, & Feng, 2015), automatic processes support the strategic construction of inferences about, for example, causality. Many of these researchers assume the scheme developed by Kintsch and colleagues (e.g., van Dijk & Kintsch, 1983) in which automatic processes make available information from memory and further automatic processes

\(^1\) Death is not an absolutely necessary outcome. A 29-year-old window-washer fell 47 floors from an apartment building and survived, as did a 29-year-old man who plunged 17 stories in the atrium of a hotel in Minneapolis, a 22-year-old amateur sky diver who went into free fall more than a mile above the earth (New York Times, 2007), and a cat who fell 19 stories from a high-rise window (Associated Press, 2012).
integrate that information with information in working memory.

Given the pivotal role of predictive inferences in highlighting the distinction between automatic processes and strategic ones, it is surprising that they have not been investigated thoroughly in research with low-literacy readers. To begin such an effort, the study reported here used sentences like "The director and cameraman were ready to shoot close-ups when suddenly the actress fell from the 14th story" (McKoon & Ratcliff, 1986). College students encode this kind of inference quickly and automatically during reading (e.g., Casteel, 2007; Cook et al., 2001; Gueraud, Tapiero, & O’Brien, 2008; Lassonde & O’Brien, 2009; Linderholm & van den Broek, 2002; Murray & Burke, 2003; Peracchi & O’Brien, 2004; Sanford & Garrod, Simon, 2005; see Gerrig & O’Brien, 2005; O’Brien, Cook, & Lorch, 2015; and Paul van den Broek, Rapp, & Kendeou, 2005, for reviews). The question was whether low-literacy readers also do so. To preview the results of our study, we found that they do, in contrast to the many studies listed above in which it appears that they do not make appropriate inferences. In the Discussion section below, we discuss our result, why it might differ from results for other types of inferences, and how it might fit into an overall picture of low-literacy adults’ inferential abilities.

An important difference between our study and those cited above is that we explicitly consider the speed/accuracy tradeoffs that individuals can adopt. Understanding these tradeoffs is essential. An individual may respond with low accuracy to test items because he or she does not know the relevant information or because he or she does know the information but decides to prioritize speed over accuracy. This means that the information that an individual has cannot be determined from speed alone or from accuracy alone. Of relevance here, it cannot be determined whether a reader does or does not encode predictive inferences. Another way to say this point is that individuals who perform with the same speed may have differences in accuracy, and therefore differences in the information underlying their performance, and individuals with the same accuracy may have differences in speed, and therefore differences in the information underlying their performance. Measuring accuracy alone or response times (RTs) alone will almost certainly give misleading interpretations of data (e.g., Ratcliff, Thapar, & McKoon, 2010, 2011; Ratcliff, Thompson, & McKoon, 2015).
To handle this problem, we use a decision-making model (Ratcliff’s diffusion model; Ratcliff, 1978; Ratcliff & McKoon, 2008) that translates accuracy and RTs into the same underlying decision process. This allows tradeoffs between accuracy and RT to be separated from the information upon which a decision is made. For predictive inferences, this means that the degree to which a reader encodes the information that the actress will die can be measured independently of the speed/accuracy criteria that the reader adopts. With the criteria separated away from the information that readers know, we can address directly the degree to which the inference that the actress died is encoded. In the following section, we show how the model does this. The power of this approach has been demonstrated for elderly adults. Generally, prior to application of the diffusion model, it had been assumed that all, or almost all, cognitive processes slow with age and so less information can be brought to bear on decisions. However, application of the model showed this is not the case for many paradigms (Ratcliff, Thapar, & McKoon, 2004; Ratcliff et al., 2010, 2011). In these paradigms, elderly adults are slower than young adults because they set their speed/accuracy settings to value accuracy more than young adults do.

The paradigm we used in the study reported here was single-word recognition memory, a task that is frequently used in cognitive psychology and one that was used by McKoon and Ratcliff (1986). On each of a series of read-and-test lists, participants are asked to read several sentences, unrelated in content to each other, and then they are tested on a list of single words. For each word, they are asked to decide if it had or had not appeared in any of the sentences just read, as quickly and accurately as possible. A test word that is related to a just-read sentence is separated from that sentence by the other sentences to be read and other test words, so the memory being tested is long-term memory for the information that was read in a sentence. For college students, RTs on this task are in the range of 600-800 ms and accuracy is in the range of 70-90%.

Among the sentences used in this study were predictive inference sentences and for these sentences, the test words of interest were words that denoted the events predicted by the sentences. For the actress sentence, the target test word was "dead." Another example is the sentence "the mover bent his knees, put his arms around the box, and took a deep breath," for which the target test word was "lift." There were two versions of each sentence, one that predicted the target event
and the other was a control sentence, a sentence that used as many as possible of the same words as
the predicting sentence without predicting the target event ("The director fell upon the cameraman,
demanding that he get close-ups of the actress on the 14th story"; "The mover laid down the box,
rested his knees and arms, and caught his breath.") Using the same words in the predicting and
control sentences was intended to equate semantic associations between the individual words and
the target words.

The target test words did not appear explicitly in the sentences that were read and so the
correct response was "no." The logic was that, to the extent that a participant had encoded the
appropriate inference when a predicting sentence was read, it would be difficult to respond "no" to
the target test word-- the meaning of the target test word would, at least partially, match the
encoded meaning of the sentence and lead to a tendency for participants to respond "yes,"
incorrectly. The match may be only partial because, as McKoon and Ratcliff (1986, 1992) showed,
the inference may be only minimal, for example, "something bad happened" rather than "death."

We used a memory task in order to assess whether readers had encoded the predictive
inferences during reading of the sentences. There are other paradigms with which to investigate the
 generation of inferences, but they do not give evidence that the inferred information is encoded
into memory (e.g., McKoon & Ratcliff, 1986, 2015). In one frequently used task, test words are
presented immediately after a sentence is read (e.g., "dead" presented immediately after an actress
sentence). In some studies, the words are presented for recognition ("was this word in the sentence
you just read?")}, in others, they are presented for lexical decision, and in others, they are presented
for naming aloud. On-line tests like these cannot show whether an inference was encoded during
reading of a sentence; instead they may show only information that was available from the
interaction of the test word and the sentence (e.g., Forster, 1981; McKoon, Ratcliff, & Ward, 1994;
McKoon & Ratcliff, 1994; Ratcliff & McKoon, 1988); without the test word coming immediately
after the sentence, no inference would be encoded. The same problem exists when sentence
reading times are measured. If two sentences are related to each other and they are read one right
after the other, the reading time for the second sentence may reflect only information that was
generated when the second sentence was read, not information that was generated during reading.
of the first sentence. For these reasons, and because our goal was to determine whether predictive inferences are actually encoded during reading, we used the single-word recognition memory task. We note that responses to test words in this task reflect fast, automatic, passive memory processes, not slow strategic ones. (McKoon & Ratcliff, 1989; Cook & O'Brien, 2015; Posner, 1978) give a more complete discussion of methods of testing for inferences.

We should point out here that our investigation is different in an important way from other research often conducted with low-literacy adults in which the aim is to predict overall performance on tests of comprehension rather than to understand what particular aspects of comprehension give difficulties. There are many individual processes involved in comprehending a text, ranging from understanding the contextually-relevant meanings of words to understanding the structure of a text as a whole. Our aim is to investigate isolated processes like these in contrast to measures that collapse over them, such as the number of multiple-choice questions answered correctly about a text just read or the number of blank words in a text that can be correctly filled in.

The Diffusion Model

We focus on the diffusion model because it allows the knowledge an individual has encoded from a text to be separated from the individual’s speed/accuracy choices. To our knowledge, such a model-based separation is new to research with low-literacy adults.

The dependent variables in our experiment were RTs and accuracy for the test words. However, participants, or groups of participants, cannot be directly compared in terms of these variables. One reason, given above, is that there can be differences among individuals in speed/accuracy tradeoff settings. Another reason is that individuals may have different baseline levels of performance. In a single-word memory test, low-literacy individuals might have a 50 ms difference between conditions on a baseline of 800 ms and college students a 25 ms difference on a baseline of 700 ms and low-literacy individuals might have a 2% difference in accuracy on a baseline of 95% and college students a 5% difference on a baseline of 90%. Again, interpretation of results like these can be done only if individuals’ speed/accuracy settings are known; in particular, it cannot be determined whether the information on which low-literacy adults base their responses reflects encoded inferences.
The diffusion model (1978; Ratcliff & McKoon, 2008) directly measures the strength with which information is encoded in memory (e.g., the strength with which death is encoded after an actress sentence) because it separates strength from speed/accuracy criteria (and encoding and response execution processes). The model applies to decisions that are made quickly, in under a second or two, as responses in single-word tests of recognition memory are for both low-literacy adults and college students.

For single-word test items, a test word must be encoded and it must be transformed into a representation of the information on which a decision is based. In the case here, it is the strength of the memory representation of the word (not for example its font size or font color). Then, given that information, a decision is made and a response executed. For the application here, it is the decision process that is of interest, so encoding and response execution processes are combined into one parameter of the model, which we call "nondecision" time.

The model as it applies to single-word recognition is illustrated in Figure 1. Total RT is the sum of the time taken by the nondecision processes ($T_{er}$) and the time taken to make a decision. The top panel illustrates the decision process. Information from memory about a test word is accumulated over time from a starting point ($z$) to one or the other of two criterial amounts, or boundaries, one for each choice. The stronger the information from memory, the higher the rate at which it is accumulated. The rate of accumulation is called drift rate, $v$. Test words that differ in difficulty differ in drift rates. For example, in the actress sentences, responses might be easier for "actress" as a test word than for "story" as a test word because the actress is the topic of a clause. Drift rates for test words that did appear in a sentence have positive values, moving, on average, from the starting point toward the upper boundary ($a$), and test words that did not appear in any sentence have negative values, moving, on average, from the starting point toward the lower boundary ($0$). A response is executed when the amount of accumulated information reaches a boundary, $0$ or $a$.

The process of accumulating information is noisy. Three instances are shown in the figure. They have exactly the same mean drift rate but noise means that they approach the boundaries at
different rates and sometimes that they reach the wrong boundary. The noise leads to the distributions of RTs shown in the figure, with more shorter than longer RTs (which is the distribution shape almost always found empirically). We call this noise within-trial variability.

The stimuli of a particular condition in an experiment are assumed to all have the same mean value of \( v \). For example, for an experiment with paragraphs as the texts to be read, the test words in one condition might be words that were highly topical in a paragraph and the test words in the other condition might be words that were only details; the value of \( v \) would be larger for the first condition than the second. However, the value of \( v \) for a particular condition is assumed to vary around its mean from trial to trial. This assumption comes from the notion that an individual cannot hold the mean value of \( v \) exactly the same from one trial of a condition to the next (Ratcliff, 1978). For the same reason, it is assumed that there is trial-to-trial variability in the starting point (Laming, 1968) and in the nondecision time (Ratcliff & Tuerlinckx, 2002). We call the variability from trial to trial across-trial variability.\(^2\)

Insert Figure 2 here

The diffusion model is validated only if it explains all aspects of data: accuracy, mean correct RTs, mean error RTs, the shapes and locations of RT distributions, and the relative speeds of correct and error responses. As shown in Figure 2, the model maps these data to the underlying components of processing: drift rate (\( v \)), starting point (\( z \)), boundary separation (\( a \)), and nondecision time (\( Ter \)). In the figure, the data, on the left, are mapped through the model to give the values of the components, on the right.

The reason in the model that an individual’s accuracy cannot be predicted from his or her speed or vice versa is that drift rates and boundary settings are independent of each other. For example, for a given value of accuracy, an individual might set his or her boundaries close together and so respond quickly or he or she might set them farther apart and so respond more slowly.

We stress that the model is tightly constrained. The first and most powerful constraint

\(^2\) Across-trial variability in drift rate is assumed to be normally distributed with SD \( \eta_v \), across-trial variability in the nondecision component is assumed to be uniformly distributed with range \( s_{Ter} \), and across-trial variability in the starting point is assumed to be uniformly distributed with range \( s_z \). (These assumptions are not crucial because the model is robust to the forms of the distributions.)
comes from the requirement that the model fit the right-skewed shape of RT distributions that is almost always obtained (Ratcliff & McKoon, 2008; Ratcliff, Van Zandt, & McKoon, 1999; Ratcliff, 1978, 2002). Second, across experimental conditions that vary in difficulty (and are randomly intermixed at test), changes in accuracy, RT distributions, and the relative speeds of correct and error responses must all be captured by changes in only one parameter of the model, drift rate. The boundaries cannot be adjusted as a function of difficulty because it would be necessary for the system to know which level of difficulty was being tested before the drift rate could be determined. Third, across experimental conditions that vary in speed/accuracy criteria (e.g., speed versus accuracy instructions), all the changes in accuracy, RT distributions, and the relative speeds of correct and error responses must be captured by changes in the settings of the response boundaries (and, empirically, there are also sometimes small changes in nondecision time).

Importantly, the model is identifiable and falsifiable. While it is relatively easy for the model to fit mean RTs and accuracy, and it can do so with a range of different parameter values (i.e., it would not be identifiable), it must meet the three constraints just mentioned. Also, Ratcliff (2002) made up several sets of fake but quite plausible data and showed that the diffusion model failed (dramatically) to fit them. (Note that in most comparisons made so far (e.g., Ratcliff et al., 2005), conclusions drawn from models other than the diffusion model (e.g., Ratcliff, Thapar, & McKoon, 2007; Usher & McClelland, 2001; see also Donkin, Brown, Healthcote, & Wagenmakers, 2011) have been the same as those from the diffusion model.)

The diffusion model allows performance to be compared among individuals because the differences among individuals in drift rates, boundary settings, and nondecision time are typically three to five times larger than the sampling variability in the model parameters (with only a single session of data; Ratcliff et al., 2010, 2015). Ratcliff and Tuerlinckx (2002; Ratcliff & Childers, 2002) provided a framework for comparing the performance of different models, including the diffusion model. In this framework, the model parameters are estimated from the data, and the goodness of fit is assessed using a variety of criteria. The diffusion model has been shown to provide a good fit to a wide range of data, including reaction time data and data from decision-making tasks.

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3 In the cognitive psychology literature, there has recently been a discussion about the identifiability of the diffusion model (and sequential sampling models in general). Jones and Dhzafarov (2014) implied that all models in which evidence is accumulated over time are unfalsifiable in that they can account for any pattern of data. But their argument was misleading-- it was limited to models that are deterministic or very nearly deterministic (Smith, Ratcliff, & McKoon, 2014). If a model is stochastic, that is, if it assumes that there is noise in the decision process and that the noise gives rise to correct and error responses and responses with varying RTs (i.e., RT distributions), as the diffusion model does, then the model is falsifiable.
2015) provided analyses and tables that allow power and effect sizes to be computed. Explaining data at the level of individuals is essential for comparisons among them and for comparisons of one group of individuals to another.

The diffusion model also increases power when there are relatively small numbers of observations in the critical conditions of an experiment. Filler conditions with many more observations allow accurate estimation of all the parameters of the model other than drift rates and these simultaneously constrain drift rates for the critical conditions giving an increase in power (White, Ratcliff, Vasey, & McKoon, 2010). This methodology was applied to the data from the experiment described here.

As mentioned above, the differences between interpretations of data provided by the model and interpretations generated from dependent variables directly are illustrated by studies with older adults, ages 65 to 90, and college students (Ratcliff et al., 2010, 2011, 2015). For the single-word memory task, there are large increases in RTs with age and only small changes in accuracy or no changes in accuracy at all. The RT data suggest large decrements in memory with age but the accuracy data suggest only small decrements or none. The diffusion model reconciles these seemingly inconsistent results by mapping the two dependent variables onto the same underlying mechanisms. In many but not all tasks, the large increases in RTs with age are due mainly to wider boundary settings and the duration of the nondecision processes. The small or nonexistent deficits in accuracy are due to small or nonexistent decreases in drift rates. These findings (Ratcliff et al., 2004, 2010, 2011) show that older adults’ slow performance in the single-word memory task is due only negligibly to poor memory, counter the usual claim that older adults have significantly worse memory than young adults.

The single-word memory task and diffusion model analyses of the data from it are fundamentally different from some of the psychometric tasks and analyses often used to investigate reading comprehension for low-literacy adults. Often, abilities such as IQ, working memory, and spelling are tested to determine which combinations of them predict reading comprehension, as measured by some test of global comprehension (e.g., answering multiple-choice questions about a just-read passage). With this approach, individual difference
variables like IQ and standardized test scores are entered into analyses. There is no way to know if
the score from a particular test estimates exactly what it is meant to measure (except by evaluating
validity with respect to other tests or outcome measures). Often, the questions addressed with these
methods have been concerned with theoretical constructs that have been hypothesized to relate to
reading, for example, rapid automatic naming, phonemic decoding, auditory working memory,
word reading, reading fluency, vocabulary, and comprehension of spoken language were
hypothesized by Mellard et al. (2010); decoding, word recognition, spelling, fluency, and
comprehension by MacArthur, et al. (2010); and phonological processing, word reading, spelling,
vocabulary, processing speed (fluency), and cognitive ability by Mellard et al. (2013). For each
construct, tasks are chosen to measure it and the scores on the tasks are entered into, for example,
confirmatory factor analysis, path analysis, or principal axis factoring. In most of the tasks, only
accuracy is measured; there is no measure of the speed of responses for individual test items. As
said above, without a measure of speed, the effects of various abilities on task performance cannot
be unequivocally interpreted.

Experiment

The materials were pairs of sentences, each made up of a predictive sentence and its
control, like the actress and mover sentences. For each pair, we attempted to ensure, as much as
possible, that the control sentence would not be associated with the predictive inference. As the
data presented later indicate, we did not completely succeed in this. For the lift sentences, for
example, "lift" might be associated to the control sentence ("The mover laid down the box, rested
his knees and arms, and caught his breath") even though it is not a predicted event. Nevertheless,
the data from the experiment show that the inferences generated from the predictive sentences
were stronger than the associations between target words and control sentences.

There were two groups of participants in the experiment. For one, the participants were
students in Adult Basic Literacy Education (ABLE) classes in the Columbus, Ohio, area, which are
free and open to anyone. For the other, the participants were college students. We included the
college students to check that our materials, modest revisions of those used by McKoon and
Ratcliff (1986), allowed replication of McKoon and Ratcliff’s findings, showing that the materials
give evidence of predictive-inference encoding when it does, in fact, occur. The two groups could not, of course, be matched on such demographic and cognitive variables as IQ, age, social-economic status, or performance on standardized comprehension tests. To preview, we found that the low-literacy participants showed encoding for the inferences, despite their poor performance on a standardized comprehension test.

Method

Materials. There were 48 pairs of predicting and control sentences. The test words for these sentences were the person engaged in the predicted event (e.g., "actress," "mover"), a word representing the predicted event (e.g., "dead," "lift"), and three other content words. The same words were tested for the control sentences as the predicting sentences. There were also 96 filler sentences, five test words from each, and a pool of 2149 words that did not appear in any sentence from which test words were selected randomly for each participant.

We checked that none of the sentences used content words that were unlikely to be familiar to ABLE students. To do this, all the content words were tested in a lexical decision task with ABLE students who did not participate in the experiment described here. For each of a series of strings of letters, they were asked to decide as quickly and accurately as possible whether the string was or was not an English word. Words for which accuracy was less than 80% were not used in this experiment. While lexical decision responses may not reflect the full meanings of words and they may not directly assess the "sluggishness" of word-level skills (Perfetti & Stafura, 2014, 2015; Perfetti, Yang, & Schmalhofer, 2008), they do require at least some level of familiarity with the words.

Procedure. Stimuli were displayed on a PC screen and responses were collected from the PC’s keyboard. The experiment began with 30 lexical decision test items, used for practice with the PC keyboard. Then there were 48 blocks of sentences to be read with their test words (preceded by 1 practice block which contained only filler sentences). For each of the blocks, there were three sentences, two filler sentences and one experimental sentence (i.e., the predicting or control sentence from one of the pairs). The experimental sentence was always the second of the three. Participants began each block by pressing the space bar on the keyboard. Then the sentences were
displayed one at a time for 4500 ms, 7000 ms, or 10,000 ms, depending on whether they were one, two, or three lines long as displayed on the PC screen. There was a 500 ms blank screen between sentences.

The three sentences were followed by 27 test words, 14 from the three sentences just read and 13 that did not appear in any of the sentences in the experiment (one of which was a target test word, e.g., "dead," "lift"). For each word, participants were asked to respond "yes" or "no" as quickly and accurately as possible according to whether the word had appeared in any of the just-read sentences, using the ?/ key on the PC keyboard for "yes" responses and the Z key for "no" responses. Each test word was displayed until the participant made a response and then the screen was cleared. If the response was correct, the next test word appeared in 300 ms. If the response was not correct, the word ERROR appeared for 900 ms and then the screen was cleared for 300 ms. The test words were presented in random order except that the target test word for a predicting or control sentence was immediately preceded by a word from its sentence (e.g., "actress," "mover").

The 48 pairs of experimental sentences were counterbalanced across sentences and participants such that half of the sentences for each participant and half of the participants for each sentence were in the predicting condition and half in the control condition.

Participants. There were 28 undergraduates from Ohio State University who took part in the experiment for credit in an introductory psychology class and 28 students from ABLE classes in the Columbus area.

The ABLE students varied from 28.5 years old to 80.2 years old, with a mean of 48.6 and standard deviation of 11.9. Their reading levels were measured by the TABE test, a widely used test of adults’ reading ability that is used in the ABLE classes to determine reading grade levels. It consists of a series of texts, each with several paragraphs, on topics such as household cleaners, cell-phone purchasing plans, and "The Power of Color," with several multiple choice questions for each. Scores on this test are translated into reading grade levels. For the ABLE students in our study, the students’ grade levels varied from 2.5 to 12.9, with a mean of 6.6 and a standard deviation of 2.8. The ABLE students in the experiment reported here had all participated in a previous lexical decision study that did not include any of the test words of interest in this
experiment. (Note that the TABE test seems quite reliable in the context here: McKoon & Ratcliff, 2016, showed that scores on the TABE were correlated with drift rates from a lexical decision task 0.48.)

Results

Insert Table 1 here

Mean RTs and accuracy values are shown in Table 1. There were seven findings of interest:

1) Accuracy showed the anticipated difference between the predicting and control conditions for both groups: it was more difficult to respond "no" correctly to target test words in the predicting than the control condition.

2) The difference in accuracy between the predicting and control conditions was much larger for the college students (.21) than the ABLE students (.08).

3) Averaging the probability of "yes" responses for the predicting, control, filler positive, and filler negative conditions, the ABLE students were more likely to respond "yes" (.64) than the college students (.48).

4) The probability of a "yes" response to target words in the control condition was higher than the probability of a "yes" response to other test words that had not appeared in the sentences. We attribute this to overlap in meaning between the control sentences and the target words (e.g., overlap between "laid down box," "arms," "knees" and "lift"); that is, we did not succeed as much as we would have liked in keeping the control sentences completely unrelated to the target test words.

5) Incorrect "yes" responses for target test words were faster in the predicting than the control condition for both groups.

6) This difference in RTs was 32 ms on a baseline of 783 ms for the college students and 43 ms on a baseline of 895 ms for the ABLE students.

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4 RTs longer than 3000 ms and shorter than 300 ms were eliminated from the analyses. This was 2.2% of the data for the ABLE students with 1% being fast guesses from three participants. For the college students, 7.4% of the data were eliminated with 5.8% of this coming from fast guesses from four participants.
7) The ABLE students’ responses were slower than the college students’ for all test words.

The main analyses of the data were those using the diffusion model. However, for completeness, we give the results of simple analyses of variance. We conducted a two-factor ANOVA of the accuracy data with group of participants as one factor and condition as the other, where the conditions were target test words in the predicting condition, target test words in the control condition, and filler positive test words. The tendency for the ABLE students to respond "yes" with higher probability than the college students was significant (F(1,54)=44.1; p<.05 is used throughout this article; η²=0.15, MSE=0.916), as was the main effect of condition (F(2,108)=80.8; η²=0.33; MSE=0.975), but these were qualified by an interaction (F(2,108)=28.0; η²=0.11; MSE=0.337).

For the interaction, post hoc tests showed that the probability of responding "yes" to a target word was larger for the predicting than the control condition (F(1,54)=49.0), but the difference between the two conditions (finding 2 above) was much larger for the college students than the ABLE students (F(1,54)=110.0). This is a scaling problem: for these conditions, the college students were operating at a baseline of about .50 probability of "yes" responses whereas the ABLE students’ baseline was about .72. This means that the predicting-control difference cannot be directly compared between the two groups. A difference of .21 on a baseline of .50 cannot be directly compared to a difference of .08 on a baseline of .72. Taking z-scores of these probabilities to correct for baselines reduces the differences to 0.53 and 0.24, but there is still a larger difference for college students than ABLE students. However, in the analyses below for which accuracy and RTs are jointly considered and translated into drift rates, the difference between the two groups of students disappears.

The ABLE students’ tendency to respond "yes" with greater probability than the college students is especially apparent when the probabilities for the target words in the control condition are compared to those for the negative filler words. The college students’ difference was .26 whereas the ABLE students’ difference was .01; the difference between these two probabilities was significant (F(1,54)=156.3). However, as the diffusion model analyses will show, this did not prevent the ABLE students from encoding predictive inferences.
We conducted the same ANOVA for RTs. The ABLE students were significantly slower than the college students ($F(1,54)=8.8; \eta^2=0.12; \text{MSE}=527789.$), there was a significant difference across the conditions ($F(2,108)=4.1; \eta^2=0.01; \text{MSE}=24665.$), and there was no significant interaction ($F(2,108)=0.6; \eta^2=0.002$). This lack of interaction is not meaningful because of the baseline differences in the RTs of the two groups (finding 6 above). We leave further interpretation of these effects to the diffusion model analyses.

**Diffusion Model Analyses**

As discussed above, the model needed to account for accuracy, the shapes and locations of the distributions of RTs for correct responses and for errors, and the relative speeds of correct and error responses, and it needed to do this simultaneously for the two groups of participants and all the conditions in the experiment.

To fit the model to the data, the RT distributions were represented by 5 quantiles, the .1, .3, .5 (the median), .7, and .9 quantiles. The model was fit with a chi-square minimization method that is fully described in Ratcliff and Tuerlinckx (2002). The correct and error RT distributions were fit for all the conditions simultaneously and they were weighted by the number of observations (because the chi-square method uses frequencies). The model was fit to the data for each participant individually.

We divided the data into eight categories in order to increase constraints on the model and to provide parallel analyses to those in a similar study with elderly adults (McKoon & Ratcliff, 2013). Together with the numbers of observations per participant, the categories were: target test words in the predicting condition (24) and the control condition (24), words from filler sentences (480), words that did not appear in any sentence (excluding target test words; 576), words that immediately preceded the target words in the predicting condition (24) and the control condition (24), and filler words from the predicting sentences (72) and control sentences (72). For statistical analyses, we chose the positive filler category to compare with the predicting and control categories because it had the largest number of observations for test words for which the correct response was "yes" and so was most reliable among those categories.

Insert Tables 2 and 3 here
The model fit the data well, as shown by the chi-square values in Table 2. The chi-square test is a very conservative test so, even when chi-square values are lower than twice the critical value, the fit of the model to data is good (see Ratcliff et al., 2004, for a discussion of model fitting and chi-square values). In fact, of the chi-square values for individual participants, only two in each group had chi-square values greater than twice the critical value.\(^5\) The best-fitting values for all the model’s parameters except drift rates are given in Table 2 and those for drift rates in Table 3.

The quality of the fit of the model to the data is also shown in the plots in the Appendix where the x axis is the data and the y axis is the model’s values. The first plot shows the data and fits for proportions of responses for correct responses. There are few deviations greater than 5% for the conditions with more than 24 observations, which shows good match between theory and data. For RTs, the next three plots show the 0.1 RT quantile (representing the leading edge of the RT distributions), the 0.5 quantile (representing the median), and the 0.9 quantile (representing the tail). The x’s come from conditions with smaller numbers of observations (more than 7 and less than 25) and the o’s from conditions with larger numbers of observations (25 or more). There are over 200 observations per plot (28 participants with 8 conditions per participant) so even though a few deviations appear large (more for the low numbers of observations, i.e., more for the x’s than the o’s), overall the fits are good. For the 0.1 quantile RTs from conditions with large numbers of observations, there were 5 deviations greater than 50 ms for the ABLE students and somewhat more for the college students. For the median RTs, there were few serious deviations, and for the 0.9 quantiles, there was somewhat more variability.

Implicit in the good quality of the fit of the model to the data is that it separated those elements of responding that mainly determine RTs from the information that is available from memory. The differences in RTs between the ABLE and college students were mainly due to differences in their boundary settings and nondecision times (Table 2). The distance between the boundaries ($a$) was larger for the ABLE participants, indicating that they were more conservative ($t(51.2)=2.8$, $\eta^2=0.13$, CI on the difference: 0.006-0.135), and their nondecision processes were

\(^5\) For comparing the chi-square values to those obtained by McKoon and Ratcliff, 2013, note that the number of observations per participant in the study reported here was about 50% larger, which means that differences in chi square due to small, systematic misfits are magnified.
slower (t(46.3)= 4.4, η²=0.26, CI on the difference: 0.041-0.109).

With boundary settings and nondecision times abstracted away, the information in memory that was encoded from sentences can be directly compared between the ABLE and college students (Table 3). For all the conditions except the target test words, the ABLE students had lower absolute values of drift rates than the college students. Also, the difference between drift rates for words from filler sentences and negative words was larger for the college students (.427 (.178-.249)) than the ABLE students (.271 (.128-.143)). These results show the college students’ better memory for information from the sentences.

To examine drift rates for the target test words, we began by conducting an ANOVA on drift rates with two factors: the two groups of participants and three of the conditions, target test words in the predicting and control conditions and filler positive test words. The difference between the groups was significant (F(1,54)=11.4; η²=0.06; MSE=0.171), with the ABLE students more likely to respond “yes;” the difference among the conditions was significant (F(2,108)=35.2; η²=0.22; MSE=0.310), and the interaction was significant (F(1,108)=15.8; η²=0.09; MSE=0.139).

The first and most important result from this analysis was that there was no significant difference between the relative degree to which the two groups encoded target test words. The difference between the drift rates for the predicting and control conditions was .075 for the ABLE students and .100 for the college students, a difference that was not significant (F(1,54)=1.8). While we cannot conclude that there is no difference between the two groups, we can conclude that the difference must be very small.

This gives a conclusion that is quite different from the one that would be drawn from the accuracy data. In accuracy, the difference between the predicting and control conditions was much less for the ABLE students than the college students, 0.08 for the ABLE students and 0.21 for the college students. The model is fit jointly to all conditions and all the conditions determine the model parameters that are common to all conditions (boundary separation, nondecision time, variability conditions). This provides more power than just one of the dependent variables (see White et al., 2010).
The second result was that the ABLE students’ responses, compared to the college students’, tended to be based on the general compatibility of a test word with memory for its sentence (e.g., "lift" associated with the words in the control sentence). The ABLE students’ drift rates did not significantly differentiate between, on the one hand, target test words in the predicting and control conditions, words that were not presented explicitly in the text, (mean .109, i.e., (.147+.072)/2)) and, on the other hand, test words from filler sentences (mean .128; F(1,54)=1.0). In contrast, the college students’ did differentiate between the target test words in the predicting and control conditions and test words from filler sentences (mean for predicting and control conditions, -.012, i.e., (.038-.062)/2; mean for fillers, .178; F(1,54)=53.3). This difference between the two groups seems to come about because the ABLE students had more difficulty overall than the college students and were matching meaning rather than veridical information, but, nevertheless, the difference they showed between predicted and control test words was significant.

Discussion

Reading comprehension is composed of many processes and many interactions among them. The particular kind of inference we investigated, predictive "what happens next" inferences, has not been investigated previously with low-literacy adults, despite its pivotal contribution to the development of reading comprehension theories.

Our finding that the degree to which low-literacy adults encoded predictive inferences was not significantly different from college students’ contrasts with the long list of studies that have found that low-literacy adults encode inferences to a lesser degree. There are (at least) two possible reasons for this. One is simply that we investigated a different type of inference and the other is that we used the diffusion model to separate the speed/accuracy tradeoffs that individuals adopt from the information they encode during reading. Whether and which other types of inferences are encoded by low-literacy adults is a question for future research.

The range of the reading grade levels for the ABLE students in our experiment was wide, 2.5 to 12.9 (mean 6.6). This suggests another avenue for future research, measuring reading skills at the level of individual students and examining correlations between scores on standardized reading comprehension tests, the information the students understand from texts as measured by
drift rates in the diffusion model, and individual differences in, for example, IQ or age. We are currently engaged in these sorts of analyses with a pool of 100 ABLE students.

Perhaps the most important of our findings is that the diffusion model was used successfully to interpret data for low-literacy adults. As emphasized above, an individual’s overall speed and accuracy are largely independent; his or her accuracy cannot be predicted from his or her speed and his or her speed cannot be predicted from his or her accuracy. Additionally, the model can be used to evaluate the performance of single participants, as it successfully did in the study reported here. One import of this is that values of drift rates, boundary settings, and nondecision processes can be correlated with scores on tests of other kinds of inferences, individual-difference measures like IQ, age, and social/economic status, and scores on tests of comprehension that are more global such as the TABE and question-answering paradigms.

The divergence of RTs and accuracy for the interpretation of data was apparent in the data reported here. RTs and accuracy were not separately interpretable; the ABLE students’ RTs were 100-200 ms longer than the college students’ and their accuracy was about 10% lower. The conclusion about predictive inferences from the accuracy data alone would have been that ABLE students do not encode them to the extent that college students do; the difference in accuracy between the predicting and control conditions was .21 for the college students but only .08 for the ABLE students. From the RT data alone, the results would have been equivocal; the difference between the predicting and control conditions was 32 ms for the college students and 43 ms for the ABLE students but they were on quite different baselines, 783 ms and 895 ms, respectively.

These results pose a serious challenge to much of the work that has been done to examine inference processing for low-literacy adults. It may be that new studies are needed that examine both RTs and accuracy as dependent measures. It may be that differences in inference processing between adults with low literacy skills and adults with good skills are considerably less than has been thought previously. If so, new hypotheses about reading difficulties may emerge.

One way to describe our results is that the ABLE students knew more than their accuracy scores indicated. To carry this further, suppose that a correct response for some item on some global comprehension test (e.g., a multiple-choice test) requires knowing about a predicted event
like death. ABLE students would, following our results, be less accurate than college students and, in the absence of RTs, it would be concluded that they knew less than the college students about the predictive inferences that could be encoded from a text.

The possibility that individuals know more than their accuracy indicates also compromises correlations that might be obtained between accuracy and individual difference variables such as IQ, vocabulary, spelling, and the like, and it compromises comparisons from one standardized test to another. This situation is made even more difficult when standardized tests that are proprietary are used to measure comprehension and so individual items on the test cannot be evaluated with respect to the particular skills they address. Adding further difficulty, it is clear that scores on one standardized test do not necessarily lead to the same conclusions as scores on another (Betjemann, Keenan, Olson, & DeFries, 2011; Hua & Keenan, 2014; Keenan & Betjemann, 2006).

In sum, we hope that the outlook on comprehension that we have adopted for this study, the focus on a particular kind of information necessary for full comprehension and a model-based approach to interpreting data, will be useful in identifying comprehension difficulties in a way that can lead to successful teaching methods for low-literacy adults.
Acknowledgments

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References


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Figure Captions

Figure 1. An illustration of the diffusion process. The top panel shows three simulated paths with mean drift rate $v$, starting point $z$, and boundary separation $a$. One process hits the top boundary quickly, another hits it later, and another hits the bottom boundary in error. The top panel also shows how the model predicts the right-skewed shapes of RT distributions: most processes hit the boundary quickly but some hit later. Drift rate is normally distributed across trials with SD $\eta$, starting point is uniformly distributed with range $s_z$, and nondecision time is uniformly distributed with range $s_t$.

Figure 2. An illustration of the mapping from RT distributions and accuracy to drift rates, boundary settings, and nondecision time.
### Table 1: Accuracy and Mean RTs (ms)

<table>
<thead>
<tr>
<th>Statistic</th>
<th>Participant group</th>
<th>“Yes” responses, predicting condition</th>
<th>“Yes” responses, control condition</th>
<th>“Yes” responses, filler positives</th>
<th>“No” responses, filler negatives</th>
</tr>
</thead>
<tbody>
<tr>
<td>Response proportion</td>
<td>College</td>
<td>0.60</td>
<td>0.39</td>
<td>0.81</td>
<td>0.87</td>
</tr>
<tr>
<td></td>
<td>ABLE</td>
<td>0.76</td>
<td>0.68</td>
<td>0.80</td>
<td>0.67</td>
</tr>
<tr>
<td>Mean RT for correct responses</td>
<td>College</td>
<td>751</td>
<td>783</td>
<td>754</td>
<td>794</td>
</tr>
<tr>
<td></td>
<td>ABLE</td>
<td>852</td>
<td>895</td>
<td>862</td>
<td>999</td>
</tr>
</tbody>
</table>
Table 2: Diffusion Model Parameters and Chi-square Values

<table>
<thead>
<tr>
<th>Participant group and statistic</th>
<th>$a$</th>
<th>$T_{er}$ (ms)</th>
<th>$\eta$</th>
<th>$s_z$</th>
<th>$p_o$</th>
<th>$s_t$ (ms)</th>
<th>$z$</th>
<th>$\chi^2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABLE mean</td>
<td>0.156</td>
<td>0.577</td>
<td>0.161</td>
<td>0.049</td>
<td>0.002</td>
<td>0.256</td>
<td>0.097</td>
<td>116.6</td>
</tr>
<tr>
<td>College mean</td>
<td>0.136</td>
<td>0.501</td>
<td>0.170</td>
<td>0.042</td>
<td>0.004</td>
<td>0.215</td>
<td>0.075</td>
<td>119.1</td>
</tr>
<tr>
<td>ABLE SD</td>
<td>0.030</td>
<td>0.076</td>
<td>0.071</td>
<td>0.042</td>
<td>0.002</td>
<td>0.097</td>
<td>0.023</td>
<td>49.5</td>
</tr>
<tr>
<td>College SD</td>
<td>0.024</td>
<td>0.049</td>
<td>0.068</td>
<td>0.026</td>
<td>0.005</td>
<td>0.098</td>
<td>0.016</td>
<td>41.7</td>
</tr>
</tbody>
</table>

Note. $a =$ boundary separation, $z =$ starting point, $T_{er} =$ nondecision time, $\eta =$ standard deviation in drift across trials, $s_z =$ range of the distribution of starting point ($z$) across trials, $s_t =$ range of the distribution of nondecision times across trials. Degrees of freedom for the $\chi^2$ were computed as follows: for the 5 quantile RTs for correct responses and the 5 quantile RTs for error responses, there were 6 bins (2 outside the .1 and .9 quantiles and 4 between the pairs of quantiles). This gives 12 degrees of freedom for each category of test words minus 1 (because the 12 numbers must sum to 1). With 11 degrees of freedom for each of the eight categories, there was a total of 88 degrees of freedom. There were 15 model parameters (shown in Tables 2 and 3) and so the number of degrees of freedom was 88 - 15 = 73, for which the critical value is 93.9. There were no significant differences between the college and ABLE students in the across-trial variability parameters. In almost all RT studies, some proportion of responses, $p_o$, are spurious "contaminants" (that might arise from inattention for example). These are modeled as a mixture of pure decision processes and pure decision processes to which a delay has been added. (Ratcliff & Tuerlinckx, 2002). The probability of contaminants ($p_o$) was less than .5% and so they are not considered further.
### Table 3: Diffusion Model Drift Rates

<table>
<thead>
<tr>
<th>Participant Group and Statistic</th>
<th>Target in predicting condition</th>
<th>Target in control condition</th>
<th>Words from filler sentences</th>
<th>Negative words</th>
<th>Word immediately preceding target</th>
<th>Filler from predicting sentence</th>
<th>Filler from control sentence</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABLE mean</td>
<td>0.147</td>
<td>0.072</td>
<td>0.128</td>
<td>-0.143</td>
<td>0.211</td>
<td>0.195</td>
<td>0.100</td>
</tr>
<tr>
<td>College mean</td>
<td>0.038</td>
<td>-0.062</td>
<td>0.178</td>
<td>-0.249</td>
<td>0.250</td>
<td>0.274</td>
<td>0.130</td>
</tr>
<tr>
<td>ABLE SD</td>
<td>0.149</td>
<td>0.164</td>
<td>0.055</td>
<td>0.122</td>
<td>0.140</td>
<td>0.122</td>
<td>0.063</td>
</tr>
<tr>
<td>College SD</td>
<td>0.070</td>
<td>0.073</td>
<td>0.052</td>
<td>0.095</td>
<td>0.124</td>
<td>0.112</td>
<td>0.043</td>
</tr>
</tbody>
</table>
Total RT = u + d + w

Nondecision components of RT = u + w
mean = $T_{er}$

Encoding etc.

Response output
The model has to fit the experimental data.

Across trial variability in model components:
1. $\eta$ drift rate,
2. $s_z$ starting point,
3. $s_t$ nondecision time

Data

Condition 1
- Accuracy
- Correct RT distribution
- Error RT distribution

Condition 2
- Accuracy
- Correct RT distribution
- Error RT distribution

Model components
- Drift rates $v_1$, $v_2$, ...
  (evidence from memory; one for each condition)
- Boundary separation $a$
  (amount of evidence needed for a decision)
- Starting point $z$
- Nondecision time $T_{er}$
  (duration of encoding, extracting decision related information, motor process, etc.)
Appendix

Appendix Figure. Plots of accuracy and the .1, .5 (median), and .9 response-time (RT) quantiles for data (x-axis) and predicted values from fits of the diffusion model (y-axis) for correct responses for college students and ABLE students.
ABLE students

College Students

Data